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## **Corporate Risk Register**

To: **Governance and Audit Committee – 24 September 2014**

By: **Policy and Business Planning Manager**

Classification: **Unrestricted**

Ward: **Supports the work of TDC across the District**

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**Summary:** This report informs Governance and Audit of the review of the Council's Corporate Risk Register providing a copy of the revised register for information.

It also informs Governance and Audit that the Portfolio Holder responsible for risk management has been designated as the Risk Management Champion for the council.

### **For Information**

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#### **1.0 Introduction and Background**

- 1.1 From November 2013 the Corporate Risk Register (CRR) underwent a major review. This was undertaken as the existing CRR was not felt to reflect the reality of the different risks faced by the council.
- 1.2 The review included 3 workshop style events and involved the various service managers at the council. The last of these workshops was on the 15 May 2014.
- 1.3 A draft CRR was circulated for Senior Management Team and managers to review.
- 1.4 The draft CRR was then shared with the Cabinet Member for Business and Corporate Resources and then informally with Cabinet and Senior Management Team together.
- 1.5 A presentation on the process was given to Governance and Audit by the Policy and Business Planning Manager on the 25 June 2014.
- 1.6 On 31 July 2014, Cabinet:
  - Approved the proposed CRR, (attached as Annex 1);
  - Designated the Cabinet Member with responsibility for corporate risk management as the Council's Risk Management Champion;
  - Committed to review the CRR once a year, delegating responsibility to approve changes to the CRR during the course of the year to the Risk Management Champion.
- 1.7 The CRR is now provided for Governance and Audit's information at their meeting on 24 September 2014.

## **2.0 The revised CRR 2014**

- 2.1 The CRR included as Annex 1 has taken into account the feedback provided by these various stakeholders, with a view to strengthening the document so that it provides a comprehensive list of the risks faced by the council and the ways the council look to control those risks.
- 2.2 The CRR includes 28 risks categorised into 9 risk groups.
- 2.3 Against each risk, causes, trigger events and consequences are identified, with examples.
- 2.4 Each risk is also scored giving the level of risk that would be faced if there were no controls in place, the level if all the controls were in place and the current level of risk.
- 2.5 Finally, each risk lists a number of control measures, either those that are ongoing business as usual (BAU) or timebound control actions (TCA). Timebound control actions will be monitored during the course of the year to track their implementation.
- 2.6 Each risk and each control measure is owned by a specific individual.
- 2.7 Governance and Audit will be provided with regular updates on direction of travel for individual risks and progress against control measures.
- 2.8 Governance and Audit are advised to keep a copy of the CRR as part of their Governance and Audit Committee Guidance Packs, so that they can refer to it in future meetings.

## **3.0 The risk management champion and Cabinet**

- 3.1 One of the Cabinet's responsibilities as listed in the council's constitution is to designate "a Member to act as a 'risk management champion' to support the integration of risk management into the culture of the Council".
- 3.2 Cabinet agreed to designate the Cabinet member responsible for risk as the risk management champion. Currently this role has fallen to Cllr Elizabeth Green.

## **4.0 Options**

- 4.1 Governance and Audit note for information the CRR.
- 4.2 Governance and Audit note the designation of the Cabinet member with responsibility for corporate risk management as the council's Risk Management Champion.
- 4.3 Governance and Audit note Cabinet's commitment to review the CRR once a year but to delegate responsibility to approve changes to the CRR during the course of the year to the Risk Management Champion.
- 4.4 Governance and Audit agree to receive regular updates on direction of travel for individual risks and progress against control measures.
- 4.5 Governance and Audit consider registering any concerns with Cabinet in respect of any areas of the CRR, Risk Management Champion and review.

## **5.0 Corporate Implications**

### **5.1 Financial and VAT**

- 5.1.1 Whilst the CRR includes a comprehensive review of corporate financial risks, there are no financial implications for the decisions required by this report.

### **5.2 Legal**

- 5.2.1 Whilst the CRR includes consideration of legal matters in as far as they relate to risks to the council, there are no legal implications for the decisions required by this report.

### **5.3 Corporate**

- 5.3.1 The CRR sets out how the Council will seek to control the risks it faces. The approach suggested is in accordance with the requirements of the Council's constitution and agreed Risk Management Framework.

### **5.4 Equity and Equalities**

- 5.4.1 There are no equality implications of the decisions required by this report. The risk register identifies a number of activities designed to control risks and these will each need to be assessed for equality impact in their own right.

## **6.0 Recommendation**

- 6.1 That the report is received by Governance and Audit and Options 4.1, 4.2, 4.3, and 4.4 are noted for information.

## **7.0 Decision Making Process**

- 7.1 There is no decision to be made and this report is for reference only.

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### **Annex List**

Annex 1	Corporate Risk Register
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### **Background Papers**

Title	Details of where to access copy
None	N/A

### **Corporate Consultation Undertaken**

Finance	Paul Cook, Interim Director of Corporate Resources
Legal	Steven Boyle, Legal Services Manager and Monitoring Officer